

About

To foster trust and promote public confidence in the accounting profession, and increase the credibility of financial reports, improve governance standards, and oversee the quality of audit and assurance engagements.

1: IDENTITY OF THE APPLICANT¹
BUSINESS LEGAL NAME:
NAME OF THE MANAGING DIRECTOR/ CHIEF EXECUTIVE OFFICER/GENERAL MANAGER:
BUSINESS LEGAL FORM: ²
BUSINESS REGISTERED ADDRESS:
CONTACT PERSON (HEAD OF FINANCE):
CONTACT PHONE NUMBER:
CONTACT EMAIL:
BUSINESS REGISTRATION NUMBER:
NUMBER OF EMPLOYEES: -

¹ This form is meant for Public Interest Entities as defined by the Financial Reporting Act 2013 and/or Rules of the Board.

² E.g. Company, Partnership, e.t.c



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2: REPORTING ENTITY AUDITOR INFORMATION
NAME OF AUDITORS:
NAME OF AUDIT PARTNER
YEAR OF FIRST AUDIT ENGAGEMENT:
AUDIT ASSIGNMENT PERIOD - LENGTH:
NON- AUDIT SERVICES (if Any) ³ :

³ Indicate Nature of Non Audit Service and the remuneration paid for the performance of such services for the periods under review.

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3: APPLICANT FINANCIAL INFORMATION
DATE OF LAST AUDITED FINANCIAL STATEMENTS:
FINANCIAL REPORTING PERIOD ⁴ (E.g. Jan 20xx – Dec 20xx):
ANNUAL TOTAL REVENUE CURRENT YEAR ⁵ :
ANNUAL TOTAL REVENUE PREVIOUS YEAR:
ANNUAL TOTAL ASSETS CURRENT YEAR:
ANNUAL TOTAL ASSETS PREVIOUS YEAR:
ANNUAL TOTAL LIABILITIES CURRENT YEAR:
ANNUAL TOTAL LIABILITIES PREVIOUS YEAR:
LICENSE NUMBER (IF APPLICABLE): -

⁴ This refers to the accounting running period E.g., January – December annually.

⁵ For e.g. for 2024 Registration year this should be figures for the 2023 audited accounts if there are Financial Reporting backlog please report matter to FROB for advice.

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**4: CONSENT TO COOPERATE WITH THE FINANCIAL REPORTING
OVERSIGHT BOARD AND STATEMENT OF ACCEPTANCE OF
REGISTRATION CONDITION**

- (A) -----
CONSENTS TO COOPERATE AND COMPLY WITH ANY REQUEST FOR TESTIMONY OR THE PRODUCTION OF DOCUMENTS MADE BY THE FINANCIAL REPORTING OVERSIGHT BOARD (FROB) IN FURTHERANCE OF ITS AUTHORITY AND RESPONSIBILITIES UNDER THE FINANCIAL REPORTING ACT 2013.
- (B) ----- AGREES TO SECURE AND ENFORCE SIMILAR CONSENTS FROM EACH OF ITS ASSOCIATED PERSONS AS A CONDITION OF THEIR CONTINUED EMPLOYMENT BY OR OTHER ASSOCIATION WITH THE APPLICANT.
- (C) ----- UNDERSTANDS AND AGREES THAT COOPERATION AND COMPLIANCE, AS DESCRIBED IN THE APPLICANT'S CONSENT IN PARAGRAPH (A), AND THE SECURING AND ENFORCEMENT OF SUCH CONSENTS FROM ITS ASSOCIATED PERSONS IN ACCORDANCE WITH PARAGRAPH (B), SHALL BE A CONDITION TO THE CONTINUING EFFECTIVENESS OF THE REGISTRATION OF THE APPLICANT WITH THE FINANCIAL REPORTING OVERSIGHT BOARD (FROB).



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5: SIGNATORY

Signature: -..... Date: -.....

Finance Director/Head of Finance

Signature: -..... Date: -.....

Managing Director/Chief Executive Officer/General Manager

END